**Declaration Form for Readiness (Using Independent Software Vendor’s Software)  
Securities and Derivatives Market Data**

**(for Real-time Information Vendors & End Users)**

To: Data Connectivity and Support, Market Data, Markets, HKEX

Email : IVSupport@hkex.com.hk

*(Please return the completed form to HKEX-IS by e-mail)*

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| **Notes**  Please read carefully all the notes provided before completing the form.   1. This Form is for licensed Information Vendors and End Users (collectively as “Clients”) to declare readiness for receiving market data from each of the OMD datafeed product(s) specified below by using software owned by the named Independent Software Vendor (“ISV”). The Client understands that the software has passed all conformance testing (e.g. Offline Simulation Test / Certification Test / Readiness Test) required by HKEX-IS. 2. **Client is required to submit this form when it appoints a new ISV only.** HKEX-IS assumes that the Client will continue using the ISV’s software for the datafeed product unless otherwise notified. Client needs to inform HKEX-IS when there is any change of its appointment of ISV for any datafeed product with 2 weeks’ advance notice. 3. By signing and returning this form, Client confirms that the software provided by the named ISV suits the Client’s need for handling the market data from the datafeed product concerned and authorizes the ISV to handle all matters in relation to subsequent system development, upgrade, testing (except those requiring the Client’s participation in the production environment) and readiness declaration of software for the datafeed product. 4. Client also acknowledges that ISV will complete all the tests for and declarations of software readiness in case of software changes initiated by the ISV itself or market data system enhancement/upgrade introduced by HKEX-IS. HKEX-IS will deal with ISV directly on software testing and readiness declaration in such cases. 5. Client also understands that it is the Client’s responsibility to participate in test sessions conducted in the production environment as required by HKEX-IS or advised by the ISV and declare the test results of its own. ISV will co-ordinate the participation of its Clients and submit the test participation forms to HKEX-IS for its Clients. All test participation forms and test results forms have to be signed by the Client itself. 6. Client should at all times communicate closely with the ISV on all matters related to the software deployed for the Client’s use. HKEX-IS and/or any of its holding companies or subsidiaries accept no liabilities for any loss or damage arising from any arrangement between ISV and its Clients. 7. It should be noted that the successful completion of the required conformance testing by an ISV should not be regarded as any form of co-operation with, or endorsement or authorization by HKEX-IS. HKEX-IS does not guarantee the relevant software’s quality, reliability and suitability for the Client’s needs. |

We, <       (Company Name)> (“the Company”), hereby declare readiness for joining test sessions in production environment (e.g. Connectivity Session / Market Rehearsal / Post Release Test) for the following datafeeds by using the software owned by the ISV(s) specified below.

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| **ISV Name** | **Datafeed Product** |
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**Authorized By:**

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| --- | --- | --- | --- | --- |
| **Name of the Company** | **:** |  |  | **Signature :** |
| **Authorized Person** | **:** |  |  |  |
| **Title** | **:** |  |  |  |
| **Contact No.** | **:** |  |  |
| **Date** | **:** |  |  |

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**Personal Information Collection Statement**

“Personal Data” in this statement has the same meaning as “personal data” in the Personal Data (Privacy) Ordinance, Cap 486 of the Laws of Hong Kong (“PDPO”).

The personal data collected on this form will be used solely for the purpose of the enrollment and be retained for such period as may be necessary for our verification and record purposes.

Please refer to the HKEX’s Privacy Policy Statement which is available on the HKEX website ([www.hkex.com.hk](http://www.hkex.com.hk)) for further details.

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