

CCMCA02 COLLATERAL ACCOUNT BALANCE REPORT

(1) CCMCA02

HKEX - CCMS
COLLATERAL ACCOUNT BALANCE REPORT

(2) RUN DATE : 25SEP12
(3) RUN TIME : 20:00:07
PAGE : 1

(4) CCMS DATE : 25SEP12

(5) FIRM NAME : GOODWILL
(6) PART NAME : GOODWILL SEC
(7) (8) (9) (10)
FIRM ID PART ID A/C TYPE A/C NO

BF00001 B11668 HSE 0001

(11) CONTRACT CURRENCY ON HOLD

(12) CCY	(13) PRODUCT	(14) OB TYPE	(15) AMOUNT	(16) PEND SH DDI
CNY	CASH	MARGN	26,671.00	0.00
HKD	CASH	ODMKS	0.00	2,732.00

(11) GENERAL CASH (17) TDMV (HKD) : 50,002.74 (18) PEND SH DDI DMV : 0.00

(19) CCY	(16) AMOUNT	(20) PEND SH DDI	(21) OUTSTANDING DR	(22) PEND DEP AMT	(22) PEND WTDL AMT
HKD	50,002.74	0.00	0.00	0.00	0.00

(11) BANK GUARANTEE (17) TDMV (HKD) : 0.00

(23) BG SEQ #	(24) BANK CD	(25) BG REF #	(26) CCY	(26) FACE VALUE	(27) RM AMT IN INV	(28) PEND DEP AMOUNT	(29) PEND WTDL AMT
6749	003	DS01BGF1	HKD	6,000.00	0.00	6,000.00	0.00

(11) CCASS STOCK - SPECIFIC STOCK ON-HOLD

(30) STK CODE	(31) ISIN CODE	(32) DEP CCY	(37) MKT VALUE	TOTAL QTY	(33) FREE BAL QTY	(34) ON HOLD QTY	(36) STM DATE	(35) TO BE REL QTY
1	HK0001000014	CDP HKD	300,000.00	2,500	0	2,500	27SEP12	0

(11) CCASS STOCK - GENERAL STOCK (17) TDMV (HKD) : 660,000.00

(30) STK CODE	(31) ISIN CODE	(37) CCY	(37) MKT VALUE	(38) QUANTITY
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SECTION 4.3

REPORT SAMPLE 4.3.2 : CCMCA02 COLLATERAL ACCOUNT BALANCE REPORT

(1) CCMCA02	HKEX - CCMS		(2) RUN DATE : 25SEP12	
	COLLATERAL ACCOUNT BALANCE REPORT		(3) RUN TIME : 20:00:07	
			PAGE : 2	
(4) CCMS DATE	: 25SEP12			
(5) FIRM NAME	: GOODWILL			
(6) PART NAME	: GOODWILL SEC			
(7) FIRM	(8) PART ID	(9) A/C TYPE	(10) A/C NO	
BF00001	B11668	HSE	0001	

(11) CCASS STOCK - GENERAL STOCK	(17) TDMV (HKD) :		660,000.00	
=====				
(30) STK CODE	(31) ISIN CODE	(37) MKT VALUE	(38) QUANTITY	

1	HK0001000014	HKD 660,000.00	5,500	
10401*	ISIN00010401	HKD 36,330.00	1,000	
(11) NON-CCASS STOCK - SPECIFIC STOCK ON-HOLD	=====			
(31) ISIN CODE	(32) DEP CCY	(37) MKT VALUE	TOTAL QTY	(33) FREE BAL QTY

	USD	10,000.00	20,000	(34) ON HOLD QTY 10,000
(11) NON-CCASS STOCK - GENERAL STOCK	(17) TDMV (HKD) :		0.00	
=====				
(31) ISIN CODE	(37) MKT VALUE	(38) QUANTITY	(39) PEND DEP QTY	(40) PEND WTDL QTY

NCSDS01NC001	HKD 1,500.00	500	1,000	0
NCSDS01NC002	HKD 2,500.00	500	2,000	0
(11) BOND	(17) TDMV (HKD) :		0.00	
=====				
(41) ISIN/CMU	(42) TYP	(43) CCY	(44) FACE VALUE	(37) MKT VALUE

DS01NC000001	TBO	HKD	100.00	150,000.00
DS01NC000002	TBO	HKD	200.00	500,000.00
(45) TOTAL TEMV BY GENERAL CASH COLLATERAL (EQUIVALENT HK\$):			0.00	
(47) TOTAL TEMV BY NON-CASH COLLATERAL (EQUIVALENT HK\$):			0.00	
(46) TOTAL TDMV - GENERAL CASH AND NON-CASH COLLATERAL (EQUIVALENT HK\$):			710,002.74	

